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The functional neuroanatomy of symptom dimensions in schizophrenia: A qualitative and quantitative review of a persistent question

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ABSTRACT

One of the fundamental goals in understanding schizophrenia is linking the observable symptoms to the underlying unobservable pathophysiology. Given recent advances in medical imaging, researchers are increasingly investigating brain-behavior relationships to better understand the neural substrates of negative, positive, and disorganization symptoms in schizophrenia. This review focused on 25 task-related functional magnetic resonance imaging studies and found meaningful small to moderate associations between specific symptom dimensions and regional brain activity. Negative symptoms were related to the functioning of the ventrolateral prefrontal cortex and ventral striatum. Positive symptoms, particularly persecutory ideation, were related to functioning of the medial prefrontal cortex, amygdala, and hippocampus/parahippocampal region. Disorganization symptoms, although less frequently evaluated, were related to functioning of the dorsolateral prefrontal cortex. Surprisingly, no symptom domain had a consistent relationship with the middle or superior temporal regions. While a number of adaptations in experimental design and reporting standards can facilitate this work, current neuroimaging approaches appear to provide a number of consistent links between the manifest symptoms of schizophrenia and brain dysfunction.

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The principal requisite in the knowledge of mental diseases is an accurate definition of the separate disease processes. In solution of this problem one must have, on the one hand knowledge of the physical changes in the cerebral cortex, and on the other of the mental symptoms associated with them. Until this is known we cannot hope to understand the relationship between mental symptoms of disease and morbid physical processes underlying them or indeed the causes of the entire disease process.

E. Kraepelin, 1907, p 115.

1. Introduction

One prominent conceptualization of schizophrenia is as a neurodevelopmental disorder, where genes and environment interact over the course of development to determine abnormalities in neural systems that give rise to the disorder. Early in life pre-schizophrenia individuals demonstrate physical, motor, cognitive, and social impairments. As the brain matures through childhood the illness is further expressed, ultimately manifesting in late adolescence and adulthood as psychotic symptomatology (for review see Lewis and Levitt, 2002; Rapoport et al., 2005). With the onset of the full syndrome, schizophrenia is diagnosed by the presence of diverse symptoms including distorted perceptions of reality, disorganized behavior, avolition, and flat or inappropriate affect. As expressed by Kraepelin (1907), to fundamentally understand schizophrenia one must relate the observable symptoms of the disorder to the unobservable neural pathophysiology. With refinements in neuroimaging technology, researchers are increasingly able to investigate brain-behavior relationships that reflect the neural basis of psychiatric symptoms. This review will focus on how blood-oxygenation level dependent response (BOLD) as measured by functional magnetic resonance imaging (fMRI) has added to our knowledge of the associations between neural substrates and symptom dimensions in schizophrenia. Symptom dimensions may reveal patterns of association with brain functioning which are not apparent when patient data are averaged into a single group and symptom heterogeneity obscures differences with a comparison group. Our goals were to determine whether consistencies emerged across studies, identify common problems that might be addressed in future studies, and highlight promising avenues for future work.

In addition to the tremendous progress made in imaging technology, considerable progress has been made in understanding the phenomenology of schizophrenia. Current diagnostic classifications and identified symptom dimensions of the disorder build on a number of theoretical and empirical approaches that have been used in the past to reduce the heterogeneity. One prominent strategy developed by Kraepelin, Bleuler, and others was to group together patients with similar symptoms, symptom courses, or patterns of symptoms, presuming that patients with shared patterns also shared underlying pathology. Our current diagnostic criteria and subtypes of schizophrenia in the Diagnostic and Statistical Manual of Mental Disorders and the International Classification of Disease very much reflect the influence of this approach in their attempts to identify common phenomenology across patients. However, these diagnostic subtypes have not been found to be particularly useful in differentiating neural pathology in patients, partly due to the instability of subtypes across the course of the disorder (Buchanan and Carpenter, 1994).

More recently, researchers have attempted to further explore and develop subtypes of schizophrenia, which are more conducive to research. Timothy Crow developed a two-syndrome theory of schizophrenia (revised version, 1985) to reconcile the paradox that some symptoms can remit and are responsive to anti-psychotic medications, whereas other symptoms are associated with poorer long-term outcome and less responsive to anti-psychotic medications. Type I syndrome was characterized by delusions and hallucinations (positive symptoms), a good response to neuroleptics, a lack of intellectual impairment, a lack of involuntary movements, and an increase in D2 dopamine receptors. Type I schizophrenia was seen as a potentially reversible condition. Type II syndrome was characterized by flattening of affect and poverty of speech (negative symptoms), a poor response to neuroleptics, significant intellectual impairment, abnormal involuntary movements, and cell loss in temporal lobe structures. The two syndromes were regarded as relatively independent, but could coexist in the same patient. A second subtyping scheme was developed which emphasized the fundamental nature of negative symptoms to schizophrenia (Carpenter et al., 1988). This scheme distinguished between primary and secondary negative symptoms. Primary symptoms were thought to be more persistent and idiopathic, and secondary symptoms were considered a consequence of phenomena such as medication, depressive symptoms, or an absence of social stimulation. For example, social withdrawal would not be considered a direct measure of a negative symptom because it may be due to a range of symptoms interacting with one's environment. Yet, loss of social drive would be considered a negative symptom, whereas social withdrawal due to paranoia would not. The term 'deficit syndrome' was developed to describe the presence of primary negative symptoms. Thus, patients would be categorized as having deficit or nondeficit schizophrenia, depending on the prevalence of primary negative symptoms. Both Crow's and Carpenter's subtypes have influenced the measurement and understanding of symptoms that characterize schizophrenia.

A recently favored approach to characterizing the symptoms of schizophrenia has been to use quantitative dimensions to investigate domains of symptomatology on which individuals with schizophrenia vary. Dimensional approaches tend to divide symptoms, rather than patients, into groups. In addition, since clinical presentation in schizophrenia is often complicated with numerous coexisting symptoms, dimensions can be used to describe the level of symptomatology across several domains rather than merely categorizing an individual into a subtype (Andreasen et al., 1994). The first two dimensions of schizophrenia were conceptualized as positive and negative symptoms which in part were derived from Crow's Type I and II subtyping of schizophrenia. Inventories such as the Scale for Assessment of Positive Symptoms (SAPS; Andreasen, 1983), Scale for Assessment for Negative Symptoms (SANS; Andreasen, 1981), and Positive and Negative Syndromes Scale (PANSS: Kay et al., 1987) were developed to rate symptoms in these dimensions. Nevertheless, factor analyses in schizophrenia have consistently demonstrated that the symptoms may be better accounted for by three dimensions: negative, positive, and disorganization (Grube et al., 1998). Disorganization can contains symptoms (e.g., formal thought disorder, bizarre behavior, inappropriate affect, and attention) that were previously divided into either the positive or negative dimension. However, the number of factors that result from these scales depends on the sample size, sample chronicity, and nature and number of items included in the analyses. Others have argued for as many as 11 or more factors and suggest that the three factors may reflect higher-order factors or derive from a less than complete inclusion of symptoms (Stuart et al., 1999). Researchers have proposed that if the full range of symptoms, including the more transient affective symptoms, are taken into account, a more complex picture emerges (Liddle, 1995). Factor analysis of the PANSS on 100 schizophrenia patients has revealed negative, positive, disorganized, excited, anxious, preoccupied, depressive, and somatization dimensions (Peralta and Cuesta, 1994).

1.1. Influential dimensional schemes

A few particularly influential factor analytic studies of symptoms exist. Liddle (1987b) used select items from the SAPS and SANS and the Present Status Examination (PSE) to measure symptoms in 40 chronic schizophrenia patients. Factor analysis revealed three factors. The first factor termed psychomotor poverty consisted of poverty of speech, decreased spontaneous movement, and four items related to blunted affect, which were unchanging facial expression, paucity of expressive gesture, affective nonresponsivity, and lack of vocal inflection. The second factor termed disorganization consisted of inappropriate affect, poverty of speech content, and four items measuring disturbances in thought, comprising of tangentiality, derailment, pressure of speech, and distractibility. The third factor, termed reality distortion, consisted of voices speaking to the patient, delusions of persecution, and delusions of reference. A similar structure was found using the PSE. However, there was modest differentiation between the delusions and hallucinations of Schneider's first rank symptoms (disintegrative reality distortion) and other symptoms (integrative reality distortion). The two factors were correlated though, suggesting that they may share etiology.

Andreasen et al. (1995) completed a factor analysis of the SANS and SAPS on a sample of 243 patients. The first factor was negative symptoms and consisted of avolition, anhedonia, and affective flattening. The second factor was disorganization which consisted of inappropriate affect and positive formal thought disorder. Bizarre behavior loaded onto both the negative and disorganization factors, but more strongly on the disorganization factor. A third factor, psychosis, consisted of delusions and hallucinations. When alogia and attentional impairments were added as global ratings, the global ratings did not clearly load onto either the negative or the disorganization factors, though the global rating for alogia correlated more highly with the negative dimension. The different items making up the attention and alogia global scales loaded onto either the disorganization or the negative dimension. Poverty of speech and increased latency of response loaded onto the negative dimension, whereas poverty of content of speech, blocking, and perseveration loaded onto the disorganization factor. Social inattentiveness loaded more strongly onto the negative dimension and inattentiveness during mental testing loaded more strongly onto the disorganization factor. To further our understanding, Arndt et al. (1995) investigated the stability and course of these symptom dimensions in 65 primarily neuroleptic naïve, acutely ill patients. All three dimensions of negative, disorganization, and positive symptoms were found to be prominent at the initial evaluation. Negative symptoms tended to be more stable longitudinally, whereas positive and disorganization symptoms tended to be less pervasive over time. Symptoms within a factor tended to change together, but independently of the symptoms of the other factors.

Factor analyses of symptoms in schizophrenia are quite useful in determining which symptoms are likely to co-occur; however demonstrating that they co-occur does not necessarily prove that they have a common etiological or biological underpinning (Andreasen et al., 1994). Nonetheless, given that dimensions provide a quantitative summary of symptomatology experienced by schizophrenia patients, they provide useful tools for examining associations between symptoms and brain function. Indeed, to more closely tie symptoms to underlying pathophysiology, many investigators have examined associations between symptom dimensions and brain activity. In this review we attempt to determine whether the symptoms of schizophrenia are associated with specific brain regions. Although, schizophrenia is likely due to dysfunction of distributed neural systems, if specific brain regions are affected it is likely that the behavior of the distributed neural system will also be disrupted. In sum, the goal of this monograph was to investigate nodes within neural systems and their association with symptom dimensions; knowledge of how these individual nodes function provides useful information of the working of higherlevel systems. Thus, we specifically examined whether fMRI brain activity associated with experimentally revealed cognitive or emotive processes in schizophrenia was related to specific aspects of naturally occurring symptomatology.

2. Methods

2.1. Study selection

Studies were identified from PubMed (through December 2007) using SCHIZOPHRENIA crossed with FUNCTIONAL IMAGING. All studies found were then reviewed to investigate whether relationships between brain regions and symptoms were assessed.

Bibliographies of identified studies were also reviewed. Only BOLD fMRI studies were included in this study to reduce methodological heterogeneity.

Researchers have used many approaches to investigate the relationship between symptoms and fMRI brain activity. Symptoms have been measured using a variety of scales, with the SANS, SAPS, PANSS, and the more general Brief Psychiatric Rating Scale (BPRS; Overall and Gorham, 1962; Ventura et al., 1993) being the most common. Different ways of evaluating the clinical phenotype have been used to relate pathology to symptomatology—with some investigators using specific symptoms, others using the positive and negative scales of inventories, and others using the positive, negative, and disorganization dimensions derived from factor analyses.

The functional neuroanatomy this review addresses was restricted to brain activity as measured by fMRI studies. When a population of neurons becomes active, there is thought to be a corresponding increase in metabolic activity resulting in an increase in oxygenated hemoglobin flowing to regions over the next 10–15 s (Buxton et al., 1998; Logothetis et al., 2001). fMRI measures this blood oxygenated level response that is thought to be related to the underlying neural activity elicited by increased cognitive demands.

Different approaches have been used to measure cognition as it relates to neurophysiology. Investigators used different cognitive tasks, including tasks of executive functioning, implicit learning, language, memory, or emotion processing to activate brain regions. The brain regions related to symptoms have also been identified through different analytic methods. First, some investigators have related symptoms to task-related brain regions found to be differentiated in schizophrenia patients (with or without an additional psychiatric comparison group) when compared with controls. Other investigators have simply related the brain regions activated by the schizophrenia group alone to symptoms. A third approach is to use the measures of symptoms themselves to identify above-threshold brain activations during task-related activity, using whole brain regression techniques. Finally, investigators have used groups of patients selected to have more prominent symptomatology in specific domains (such as negative or positive symptomatology, or, paranoia or lack of paranoia) to directly compare brain activation patterns between groups. In an attempt to maximize homogeneity of methods across studies and derive meaningful conclusions, we focused on the first of these approaches. Thus, this review investigates brain-behavior relationship in the context of understanding the nature of the impairment contrasted with a healthy community comparison group, and potentially an additional psychiatric control. One benefit of this strategy is that by characterizing normative functioning of a brain region we can better understand the nature of the association with symptomatology (e.g., if we find that hyperactivity in a region is characteristic of patients with schizophrenia compared to controls and it has a positive association with negative symptoms, it provides convergent evidence as to the nature of the association). This was a common approach taken across studies and yielded a number of interesting findings despite being a relatively conservative approach. Restricting the scope of the review also allowed us to consider specific characteristics of each study in summarizing results.

However, one possible consequence of summarizing studies using cognitive tasks to elicit brain activity is that it may distort the pattern compared to if symptoms were directly examined in relation to brain activity. Alternatively, using cognitive tasks to elicit brain activity may increase our ability to detect an interpretable association, as the symptoms of schizophrenia are thought to be associated with cognitive deficits. Consequently, if a region is not functioning as necessary during a cognitive task it may reflect a persistently abnormal brain dysfunction that underlies aspects of psychotic symptomatology. By challenging a neural system or node by increasing cognitive demand, investigators may better reveal the relationship between symptom dimensions and activity in specific brain regions. Because cognitive tasks activate select brain regions, we grouped studies by task domain. Studies utilizing tasks measuring executive function, affective processes, and processing speech were considered. One reason for combining specific tasks into domains was to demonstrate the generalizability of the findings beyond specific task mechanisms to the global construct being measured by the different tasks in a domain. For studies employing executive functioning tasks, we reviewed symptom relationships with dorsolateral and ventrolateral prefrontal cortical activity. For affective functioning tasks, we examined symptom relationships with medial prefrontal, limbic, and ventral striatal functioning. For speech processing tasks, symptom relationships with the temporal lobe were assessed. When activations encompassed two regions of interest (e.g., amygdala/hippocampus, middle/superior temporal lobe) the findings were coded for both of the regions. From all the papers that corresponded to our key word search, 25 published or inpress papers were identified that met review criteria. Studies that did not meet criteria are integrated into the discussion as convergent or divergent evidence.

2.2. Effect size coding

A comprehensive approach to the review was undertaken with both qualitative and quantitative summaries being provided. Prior to the meta-analytic summary, a description of each study within a domain is provided to consider study differences. After the qualitative review, for each brain region and related symptom dimension a weighted mean effect size, a Q heterogeneity statistic, and confidence intervals were computed. These statistics were calculated using publicly available meta-analytic software (Steel, 2008). For the quantitative summaries, findings across hemisphere were combined. This was done to increase the robustness of any potential symptom-function relationship, as differential hemisphere activation may often reflect the cognitive processes recruited by specific task demands (Gur and Chin, 1999). Furthermore, many brain regions demonstrate bilateral functional and structural abnormalities in schizophrenia when samples are sufficiently large (e.g., Glahn et al., 2005; Wright et al., 2000). In studies where multiple task manipulations were provided, we included all associations between task manipulation and symptoms, but weighted the sample only once.

The direction of the symptom effect was coded to be positive if it was consistent with the hypothesized finding based on the deviation from normative function from a comparison analysis with healthy controls. For example if hypofrontality was found to have a negative association with symptoms, the effect in the tables would be reported as positive as less activity would be hypothesized to be associated with greater symptomatology. Likewise, if increased activity in a region relative to controls was found to have a positive association with symptoms, the effect in the tables would be reported as positive because greater activity compared to controls would be expected to be related to greater symptoms in patients. When patients with different types of symptoms were compared, we would code the effect as positive if the group with the psychopathology of interest deviated from the other psychiatric comparison group and controls. The effect was coded negative if higher symptom levels were associated with more normative BOLD responses (i.e., similar to controls). Where effect sizes were reported as large a value of r = 0.50 was assigned, r = 0.30 for medium, and r = 0.10 for small (Lipsey and Wilson, 2001). We converted p, t, F statistics to r values for studies in which only comparison statistics for differences in brain activity between psychiatric groups were provided.

3. Results

Table 1 provides demographic information for studies reviewed. Specific symptoms included in the dimensions are provided in the tables.

3.1. Dorsolateral prefrontal cortical functioning during executive tasks

Executive functioning encompasses diverse cognitive processes including attention, working memory, context processing, and inhibition, which have all been associated with the functions or integrity of the frontal lobe (Duncan and Owen, 2000). Schizophrenia patients demonstrate difficulties in all of the domains of executive functioning (Heinrichs and Zakzanis, 1998). Prefrontal cortical and executive functioning are hypothesized to be related to negative symptoms due to their role in creating self-directed behaviors, deficits in which may underlie alogia, anhedonia, and flat affect. Additionally, prefrontal cortical and executive functioning are hypothesized to be related to disorganization symptoms due their role in suppressing inappropriate behavior, deficits in which may underlie inappropriate affect, formal thought disorder,

Table 1

Demographics for studies reviewed.

and bizarre behavior (Liddle, 1987a; Liddle et al., 1992). Due to the a priori association between these executive processes and the dorsolateral prefrontal cortex (Hartley and Speer, 2000), many studies of schizophrenia have focused on the relationship between this region and symptom dimensions.

3.1.1. Qualitative review

Two studies used a two-factor model of positive and negative symptoms and the Sternberg Item Recognition Paradigm to investigate the maintenance and manipulation aspects of working memory (Manoach et al., 1999, 2000). In the first study, schizophrenia patients demonstrated greater left dorsolateral prefrontal cortex activation (BA 9/46) than controls and greater impairments in performance were associated with less left dorsolateral prefrontal cortex activation (Manoach et al., 1999). Less activation in the left dorsolateral prefrontal cortex had a large association with greater negative symptoms as measured by the PANSS negative scale. Thus, the more abnormally high activity shown by patients compared to controls, the fewer negative symptoms they expressed. Neither positive nor general psychopathology was related to the activation. However, in the second study in which a smaller sample was used, no significant

Study	Patien	ts		Compa	arison patients		Contr	ols		Medication
	N	Age	M/F	N	Age	M/F	N	Age	M/F	
Executive functioning studies										
Manoach et al. (1999)	12	42.4 (5.2)	12/0				10	37.7 (11.0)	10/0	Yes
Manoach et al. (2000)	9	42.4 (7.8)	7/2				9	38.7 (10.6)	7/2	Yes
Arce et al. (2006)	17	40.9 (7.5)	13/4				17	39.8 (8)	14/3	Yes
Perlstein et al. (2001)	17	36.5(7.5)	11/6				16	36.5(6.9)	10/6	Yes
Menon et al. (2001)	11	44.6 (4.6)	11/0				13	42.5 (3.9)	13/0	Yes
Snitz et al. (2005)	23	23.0 (5.9)	16/7				24	23.4 (4.9)	13/11	Never
MacDonald et al. (2005)	18	27.5 (10.2)	13/5	12 ^a	26.5 (9.4)	8/4	28	25.4 (7.5)	18/10	Never
MacDonald and Carter (2003)	17	34.2 (7.7)	12/5				17	33.5 (5.8)	12/5	Yes
Emotion processing studies										
Hempel et al. (2003)	9	26	4/5				10	28	6/4	Yes
Williams et al. (2004)	13 ^b	26.8 (9.1)	8/5	14 ^c	27.8 (10.4)	9/5	22	27.2 (8.1)	14/8	Yes
Williams et al. (2007)	13 ^b	26.9 (9.1)	8/5	14 ^c	27.8 (10.4)	9/5	13	25.1 (8.1)		Yes
Taylor et al. (2007)	11 ^d	37.8 (10.9)	9/2	12 ^e	40.4 (10.2)	8/4	15	39.4 (10.1)	10/5	Yes
Gur et al. (2002)	14	28.8 (8.9)	10/4				14	27.4 (7.3)	10/4	Yes
Gur et al. (2007)	16	30.1 (6.5)	12/4				17	25.0 (3.9)	12/5	Yes
Russell et al. (2006)	7 ^b	42.2 (6.3)	7/0	8 ^c	46.9 (8.4)	7/0	10	35.6 (10.4)	10/0	Yes
Phillips et al. (1999)	5 ^b	43		5 ^c	31		5	30		Yes
Surguladze et al. (2006)	15	43.1 (8.8)	15/0				11	36.8 (10.6)	11/0	Yes
Reward and conditioning studies										
Juckel et al. (2006b)	10	26.8 (7.8)	10/0				10	31.7 (8.4)	10/0	No
Jensen et al. (2008)	13	37.6 (8.5)	10/3				13	36.5 (11.8)	9/4	Yes
Juckel et al. (2006a)	10 ^f	31.5 (11.3)	8/2	10 ^g	37.6 (11.3)	6/4	10	30.6 (8.4)	8/2	Yes
Speech processing studies										
Koeda et al. (2006)	14	31.6 (7.0)	12/2				14	29.1 (7.8)	10/4	Yes
Ngan et al. (2003)	14	35.1	12/2				29	29.3	21/8	Yes
Surguladze et al. (2001)	7 ^d	37 (11.7)	4/3	7 ^e	34.7 (9.1)	6/1	7	35.7 (11.2)	5/2	Yes
Allen et al. (2007)	10 ^h	34.8 (6.9)	10/0	10 ⁱ	34.8 (11.4)	10/0	11	29.2 (4.3)	11/0	Yes
Woodruff et al. (1997)	8 ^j	36 (10.3)	8/0	7 ^k	34.6 (6.9)	7/0	8	35.3 (6.3)	8/0	Yes
Woodruff et al. (con't)	7 ¹	33.6 (10.2)	7/0	7 ^m	33.6 (10.2)	7/0				

Note: M = male; F = female; mean and standard deviation reported where appropriate and available.

^a Patients with nonschizophrenia psychosis.

^b Patients with paranoia.

^c Patients without paranoia.

^d Patients with positive symptoms.

^e Patients without positive symptoms.

^f Patients treated with typical neuroleptics.

^g Patients treated with atypical neuroleptics.

^h Patients with auditory hallucinations.

ⁱ Patients with no history of auditory hallucinations.

^j Patients trait-positive for auditory hallucinations.

^k Patients trait-negative for auditory hallucinations.

¹ Patients state-positive for auditory hallucinations. Same patients as in footnote m.

^m Patients state-negative for auditory hallucinations. Same patients as in footnote l.

association was found with either negative or positive symptoms, perhaps due to reduced power (Manoach et al., 2000). In addition, this study found that the schizophrenia group had more heterogeneous dorsolateral prefrontal activation. Only 24 percent of the schizophrenia patients' individual dorsolateral prefrontal clusters overlapped with the group clusters, which may have diluted the relationship between the group dorsolateral prefrontal activation and symptoms.

A third study also used a two-factor model to investigate the relationship between dorsolateral prefrontal activity during response inhibition and symptoms. Arce et al. (2006) used a modified Go/NoGo task to measure implicit learning of contextual information predicting response inhibition in schizophrenia patients and controls. During the traditional executive functioning contrast of NoGo minus Go condition, controls demonstrated greater activation in the left middle frontal gyrus (BA 9) compared to patients. This region was not associated with PANSS total, positive, or negative symptom scores.

A number of studies have also investigated the relationship between dorsolateral prefrontal functioning and all three symptom dimensions, positive, negative, and disorganization. Perlstein et al. (2001) used a working memory letter n-back task and the PANSS to measure negative, positive, and disorganization symptoms. Schizophrenia patients displayed impaired cognitive performance and decreased right dorsolateral prefrontal cortical activity (BA 46/9) at the heaviest working memory load compared to controls. Furthermore, a significant large association was found between increased disorganization symptoms and decreased right dorsolateral prefrontal cortex, but no association was found with the negative or positive symptoms. A second working memory study also provided support for the association between disorganization symptoms and dorsolateral prefrontal functioning. Menon et al. (2001) using an auditory n-back working memory task demonstrated schizophrenia patients had reduced activation in their right dorsolateral prefrontal cortex compared to controls. This decreased activity corresponded with increased ratings on the BPRS conceptual disorganization item. Decreased activation in the right dorsolateral prefrontal cortex also demonstrated a large association with greater unusual thought content and hallucinatory behavior BPRS items. Withdrawal-retardation, hostilitysuspiciousness, and anxiety-depression dimensions did not demonstrate a relationship with this region.

Three studies have used the construct of context processing to examine the relationship between the dorsolateral prefrontal cortical activity and positive, negative, and disorganization symptoms. Context processing is the representation and maintenance of context information needed to make appropriate taskrelevant responses (Cohen et al., 1999). Snitz et al. (2005) utilized a novel context processing task, the Preparing to Overcome Prepotency task, in a drug-naïve sample. Confirmatory analysis of the left dorsolateral prefrontal cortex (BA 9) demonstrated that this region was less active in patients compared to controls and demonstrated a moderate correlation with interference following the instruction to overcome a prepotent response. Furthermore, reduced activation in this region had a large association with greater disorganization symptoms, whereas negative symptoms and positive symptoms had small associations.

Further evidence of a relationship between disorganization symptoms and dorsolateral prefrontal activity during context processing comes from MacDonald et al. (2005). In this study an expectancy AX task was used to evaluate whether context processing difficulties were specific to drug-naïve schizophrenia patients. The right dorsolateral prefrontal cortex (including BA 9 and 10) was less active in schizophrenia patients compared to nonschizophrenia psychosis and controls subjects. Furthermore, schizophrenia patients with greater disorganization symptoms showed lower activity when provided the context (i.e., cue) to overcome the prepotent response. The relationship between brain activity in this region was significantly greater for the disorganization dimension than for the positive or negative symptoms, as assessed by the Meng's z-test for differences between correlations. A similar effect was observed in the portion of that region which extended into right BA 10. In this region, disorganization symptoms were correlated with the residual brain activity accounted for by having to maintain the need to subsequently overcome the prepotent response, which was greater in schizophrenia patient compared to controls and nonschizophrenia psychosis. Thus the correlation between residual brain activity and disorganization was positive and significantly greater than the correlation of residual brain activity with positive, but not negative symptoms. One other region of the left middle frontal gyrus (BA 10) was found to be reduced in activity in schizophrenia patients compared to controls only during preparatory activity. This activation had only small associations with disorganization, negative, and positive symptoms.

One expectancy AX task in chronic schizophrenia patients did not provide support for an association between any symptom domain and dorsolateral prefrontal functioning, despite schizophrenia patients having less activity in the left dorsolateral prefrontal cortex (BA 9) compared to controls when preparing to overcome a prepotent tendency (MacDonald and Carter, 2003). One possible reason for the different relationship between disorganization symptoms and the left dorsolateral prefrontal cortex could have been the use of a relatively stable, medicated sample. This interpretation is supported by Snitz et al. (2005). This study showed that the same dorsolateral prefrontal cortical region that demonstrated a large significant association with disorganization symptoms in a drug-naïve state was found to have no significant association after 4 weeks of atypical anti-psychotic treatment. Thus medication status may suppress the association between brain activity and symptom expression.

3.1.2. Quantitative review

Eight studies totaling 136 patients investigated the relationship between dorsolateral prefrontal activity during executive functioning and negative or positive symptoms (see Table 2). Five studies totaling 98 subjects investigated the above relationship with disorganization symptoms. For both the negative and positive symptom dimensions, the effect sizes were found to be negligible. For the disorganization symptom dimension, a medium effect size was found and the confidence intervals did not include zero. In schizophrenia patients, the greater the abnormality in dorsolateral prefrontal activity compared to controls, the more severe their disorganization symptoms. Lastly, the heterogeneity statistics suggested that the mean effect sizes were relatively good indicators for all three symptoms domains.

3.1.3. Summary

The most convincing evidence was provided for the relationship between disorganization symptoms and dorsolateral prefrontal functioning during executive functioning, with all but one study finding a large interpretable association. When a purely quantitative assessment was invoked the disorganization symptom dimension was found to have a moderate association with dorsolateral prefrontal functioning. Neither the negative or positive symptom dimension was found to have a consistent relationship with dorsolateral prefrontal activity.

3.2. Ventrolateral prefrontal cortical functioning during executive tasks

The ventrolateral prefrontal cortex has also been found to be activated in wide variety of executive functioning tasks (Duncan

Table 2

Studies investigating dorsolateral prefrontal cortical functioning during executive tasks.

Study	Task	Dorsolateral prefrontal cortex						
		Group diff	Neg	Pos	Dis			
Manoach et al. (1999) ^a	Working memory	↑ L	-0.51	0	-			
Manoach et al. (2000) ^b	Working memory	↑ L	0	0	-			
Arce et al. (2006) ^c	Response inhibition	↓L	0	0	-			
Perlstein et al. (2001) ^d	Working memory	↓R	0	0	0.74			
Menon et al. (2001) ^e	Working memory	↓ R	0	0.58	0.50			
Snitz et al. (2005) ^f	Context processing	↓ L	0.27	-0.16	0.58			
MacDonald et al. (2005) ^g	Context processing	↓R	0.20	0	0.53			
		↑ R	0.37	0.11	0.60			
		↓L	0.28	0.14	0.28			
MacDonald and Carter (2003) ^h	Context processing	↓ L	-0.32	-0.20	-0.18			
Effect size			-0.002	0.006	0.43			
Q-heterogeneity statistic (probability value)			6.73 (0.67)	4.72 (0.86)	10.17 (0.12)			
Confidence interval lower bound			-0.19	-0.18	0.25			
Confidence interval upper bound			0.18	0.19	0.61			

Correlation values reported in table (r or rho).

Neg = negative symptom dimension; Pos = positive symptom dimension; Dis = disorganization symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \bot = less activation in patients compared to controls; \square

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms or greater symptoms in the patient group with the symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms or the patient group with fewer symptoms of interest).

^a Symptom dimensions were PANSS positive and negative total scores.

^b Symptom dimensions were PANSS positive and negative total scores.

^c Symptom dimensions were PANSS positive and negative total scores.

^d The negative symptom dimension included the blunted affect, emotional withdrawal, passive social avoidance, motor retardation, and lack of spontaneity PANSS items. The positive symptom dimension included the hallucinations, delusions, and unusual thought content PANSS items. The disorganization symptom dimension included the conceptual disorganization, mannerisms and posturing, difficulty abstracting, and poor attention PANSS items.

^e The negative symptom dimension was calculated from BPRS items. The positive symptom dimension included the unusual thought content and hallucinatory behavior BPRS items. The disorganization dimension included the conceptual disorganization BPRS item.

^f The negative symptom dimension included the emotional withdrawal, motor retardation, and blunted affect BPRS items and anhedonia/asociality, avolition/apathy, alogia, and affective flattening SANS items. The positive symptom dimension included the grandiosity, suspiciousness, hallucinations, and unusual thought content BPRS items and hallucinations and delusions SAPS items. The disorganization symptom dimension consisted of the conceptual disorganization, mannerisms and posturing, and disorientation BPRS items and attention, positive formal thought disorder, and bizarre behavior SANS and SAPS items.

^g Same symptom dimension structure as Snitz et al. (2005). See footnote f.

^h The negative symptom dimension included the blunted affect, emotional withdrawal, poor rapport, passive-apathetic social withdrawal, difficulty in abstract thinking, lack of spontaneity, stereotyped thinking, motor retardation, disturbance of volition and active social avoidance PANSS items. The positive symptom dimension included the delusions, hallucinatory behavior, suspiciousness and unusual thought content PANSS items. The disorganization dimension included the conceptual disorganization, difficulty in abstract thinking, poor attention and lack of judgment and insight PANSS items.

and Owen, 2000). As most studies have focused a priori on the role of the dorsolateral prefrontal cortex, only a few studies have additionally investigated the role of the ventrolateral prefrontal cortex during executive functioning tasks and its association with symptoms.

3.2.1. Qualitative review

Two of the studies that assessed dorsolateral prefrontal cortical functioning during executive functioning also assessed the relationship between activity in the ventral prefrontal cortical region and symptoms. In the study discussed above by Menon et al. (2001), schizophrenia patients had reduced bilateral frontal operculum activity and this reduced activity was associated with higher negative symptom scores from the BPRS. In contrast, MacDonald et al. (2005) found maintenance-related context activity was associated with increased bilateral inferior frontal activity in schizophrenia patients compared to controls and nonschizophrenia psychosis patients. These activations had a moderate association with disorganization symptoms and a small association with negative and positive symptoms. An additional region of the right inferior frontal cortex with lower activity in patients during preparation had a negligible association with all three symptoms domains.

3.2.2. Quantitative review

Two studies totaling 41 subjects investigated the relationship between ventrolateral prefrontal activity, executive functioning, and symptoms (see Table 3). There was a medium association between abnormal ventrolateral prefrontal activity during executive functioning and greater severity of negative symptoms. The negative dimension was also the only dimension for which the confidence intervals did not include zero. The effect size was negligible for positive symptoms, whereas it was small for the disorganization dimension. Lastly, the heterogeneity statistics suggest that the mean effect sizes were relatively good indicators for all the symptom domains.

3.2.3. Summary

In two studies, preliminary evidence was found for a medium relationship between negative symptoms and ventrolateral prefrontal cortical functioning during executive functioning. It is important to note that this is based on an assessment of two studies; one of the two studies found large associations and the second found small to moderate associations. Neither the positive or disorganization symptom dimension was found to have a consistent or convincing relationship with ventrolateral prefrontal cortical activity.

3.3. Medial prefrontal cortical functioning during emotion tasks

Schizophrenia has been conceptualized as a disorder with prominent social dysfunction, including the inability to represent the mental states of others. This inability includes interpreting the beliefs or intentions of others to predict and explain their behavior.

Table 3

Studies investigating ventrolateral prefrontal cortical functioning during executive tasks.

Study	Task	Ventrolateral prefrontal cortex						
		Group diff	Neg	Pos	Dis			
Menon et al. (2001)	Working memory	↓L	0.897	0	0			
		↓R	0.66	0	0			
MacDonald et al. (2005)	Context processing	↑ L	0.24	0.17	0.32			
		↑ R	0.18	0.03	0.36			
		$\downarrow R$	0.01	0.06	-0.09			
Effect size			0.38	0.05	0.12			
Q—heterogeneity statistic (probability value)			3.73 (0.44)	0.06 (0.99)	0.54 (0.97)			
Confidence interval lower bound			0.04	-0.35	-0.27			
Confidence interval upper bound			0.73	0.45	0.52			

Correlation values reported in table (r or rho).

Neg = negative symptom dimension; Pos = positive symptom dimension; Dis = disorganization symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \downarrow = less activation in patients compared to controls; n.s. = non-significant contrast; L = left; R = right.

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms or greater symptoms in the patient group with the symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms or the patient group with fewer symptoms of interest).

Symptom dimension information for these studies is presented in Table 2.

The medial prefrontal cortex is thought to be activated during judgments about the self and others as well as during the viewing of emotionally salient material (Adolphs, 2001; Taylor et al., 2007). One hypothesis is that distortions in reality (e.g., in a delusion) may be due to dysfunction of the medial prefrontal cortex and judgments going awry or finding personal relevance inappropriately in social situations (Taylor et al., 2007).

3.3.1. Qualitative summary

One study investigated the relationship between medial prefrontal cortical activity during emotion recognition tasks and the two-factor positive and negative dimensions. Hempel et al. (2003) studied emotion-matching and emotion-labeling tasks in first-episode schizophrenia patients and healthy controls. In the emotion-matching task, schizophrenia patients showed a trend towards increased activation in the bilateral medial frontal gyri compared to controls. In the emotion-labeling task, schizophrenia patients had greater activation in the bilateral medial frontal gyri compared to controls. The medial frontal region was not associated with PANSS positive or negative total scores. One reason for this lack of association may be that both positive and negative emotions were analyzed together. Studies that investigate emotions of different valence separately tend to show larger effect sizes for and find a specific role for fear or threat-provoking stimuli.

Given that a number of studies have found the greatest impairments in facial recognition are for threat-related or negative expressions such as fear, much recent interest has focused on paranoia symptoms specifically. Previous research suggests that schizophrenia patients have an increased sensitivity to threatrelated material, but may also demonstrate threat avoidance (Surguladze et al., 2006). This led Williams and colleagues to predict paranoid patients would have enhanced arousal to fear, but have reduced activity in their medial prefrontal regions, suggesting impaired processing of threat-related material. One such study conducted by Williams et al. (2004) compared paranoid and nonparanoid patients and controls while viewing fear or neutral facial expressions during simultaneous fMRI and skin conductance recordings. In schizophrenia patients as a group compared to controls, the medial prefrontal cortex (BA 8/9/32) was found to be lower in activity than in controls when viewing facial expressions of fear compared to neutral expressions only if accompanied by high skin conductance levels. Facial expressions of fear versus neutral expressions were found to result in reduced right dorsal medial prefrontal cortex (BA 8) whereas paranoid patients had greater ventral medial prefrontal cortical activity (BA 10) compared to nonparanoid patients. Further analyses were conducted to investigate the pattern of brain activity when fearful expressions were differentiated by skin conductance level. When fearful expressions were not accompanied by high skin conductance levels paranoid patients had less activation in their left lateral prefrontal cortex, extending medially (BA 44) compared to nonparanoid patients. Convergent behavioral evidence demonstrated paranoid schizophrenia patients had more difficulty distinguishing fearful faces and greater skin conductance responses than nonparanoid patients.

These findings were replicated in a second study of the same of paranoid and nonparanoid patients and controls by Williams et al. (2007). This study used a similar methodology, but also included angry and disgust emotions. In support of their previous findings, all patients showed reduced activation in their dorsal medial prefrontal cortex, when fearful compared to neutral stimuli were accompanied by high skin conductance levels. Specifically, paranoid patients had less activity in their ventral medial prefrontal activity (BA 8) compared to nonparanoid patients. Reduced medial prefrontal activity (BA 9) with high skin conductance levels was also found for anger pictures in paranoid patients compared to nonparanoid patients, but not controls. There were no differences in the medial prefrontal cortical activation between the paranoid and nonparanoid patients for any of the emotions when they were not accompanied by high skin conductance levels.

Taylor et al. (2007) were interested in investigating medial prefrontal functioning using neutral, positive, and aversive pictures selected from the International Affective Picture System (IAPS), rather than facial expressions. This study compared schizophrenia or schizoaffective patients with prominent positive symptoms to patients without prominent positive symptoms and controls. In the negative versus neutral pictures contrast (as well as aversive versus blank pictures contrast), patients with positive symptoms demonstrated greater activation than patients without positive symptoms and controls in their anterior medial prefrontal cortex (BA 10). A whole brain analysis of positive symptoms and BOLD signal provided confirmatory evidence of the association with the medial prefrontal cortex (BA 10). Negative symptoms and general severity were not associated with the medial prefrontal activity.

3.3.2. Quantitative summary

Four studies including 86 patients explored the relationship between medial prefrontal activity and positive symptoms or

Fable	4

Studies investigating medial prefrontal cortical functioning during emotion tasks.

Study	Task	Medial prefrontal	Medial prefrontal cortex				
		Group diff	Neg	Pos			
Hempel et al. (2003) ^a	Emotion-labeling	↑ LR	0	0			
Williams et al. (2004) ^b	Fearful faces	\downarrow LR	-	0.49			
				-0.49			
				0.49			
Williams et al. (2007) ^c	Negative faces	↓ R	-	0.49			
		n.s.		0.49			
Taylor et al. (2007) ^d	Negative images vs. neutral images	Ŷ	0	0.56			
Effect size—quantitative			-	0.36			
Q-heterogeneity statistic (probability value)			-	7.93 (0.24)			
Confidence interval lower bound			-	0.16			
Confidence interval upper bound			-	0.55			

Correlation values reported in table (r or rho).

Neg = negative symptom dimension; Pos = positive symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms or greater symptoms in the patient group with the symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms or the patient group with fewer symptoms of interest).

^a Symptom dimensions were PANSS positive and negative total scores.

^b The paranoid group was defined by moderate or greater severity ratings on delusions, suspiciousness, grandiosity, and excitement PANSS items. Other than these four items there was no significant difference between groups on any remaining PANSS items. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to *r* values reported in table.

^c The paranoid group was defined as moderate or greater severity on delusions, suspiciousness, grandiosity, and excitement on SAPS items. In addition, this group was defined as having greater passive/apathetic withdrawal and poor interpersonal function from the Social Functioning Scale. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to *r* values reported in table.

^d The positive symptoms group had greater symptoms of unusual thought content, suspiciousness, and hallucinations BPRS items. No patient included in the study had a score greater than mild on the disorganization BPRS item.

paranoia specifically (see Table 4). These studies demonstrated a medium effect between abnormal medial prefrontal activity and more severe positive symptoms. The confidence interval did not include zero and the heterogeneity statistics suggested the effect size was a relatively good indicator of the magnitude. The two studies that investigated negative symptoms suggested that the medial prefrontal cortex was not invoked by negative symptoms during emotional functioning; however negative symptoms were not the focus of these studies.

3.3.3. Summary

There was a promising medium association between positive symptoms and medial prefrontal functioning during emotion processing tasks. There was no association with negative symptoms; however evaluating these symptoms was not the goal of most of these studies.

3.4. Amygdala and hippocampal/parahippocampal functioning during emotion tasks

The amygdala is hypothesized to have a crucial role in identifying emotional significance, producing affective states, and regulating autonomic responses (Phillips et al., 2003a). Lesions to the amygdala in animals have led to social disinhibition and emotional blunting. The hippocampus long thought to have a role in spatial memory and episodic memory, may also have a role in regulating affective states, such as generating behaviors in threatening or potentially threatening contexts (Phillips et al., 2003a). The parahippocampal gyrus has a role in context appraisal (Sacchetti et al., 1999) and has close connections to the hippocampus and amygdala. Impairments in the amygdala, hippocampus, and parahippocampal gyrus could lead to abnormal emotion recognition, a reduction in the number of emotional states produced, misinterpretation of neutral or ambiguous situations as threatening, and a reduced ability to regulate affective states. Dysfunction in these regions could lead to flat affect, anhedonia, or persecutory delusions depending on the specific processes impaired (Phillips et al., 2003b).

3.4.1. Qualitative summary

Two studies investigated the amygdala and hippocampus using facial emotion processing tasks, and a two-factor positive and negative symptom dimension model. Gur et al. (2002) investigated emotion recognition of negative versus positive emotions and age recognition (as a control task) in schizophrenia patients and controls. Patients had less activation in their left amygdala and bilateral hippocampi compared to controls during emotion recognition only; however no associations were found between these regions and the SAPS or the SANS total score. Similarly, in the study by Hempel et al. (2003) described above, schizophrenia patients also had lower activation in bilateral amygdala-hippocampus compared to controls during the emotion-labeling task. None of these regions were associated with PANSS positive or negative total scores.

In a second study by Gur et al. (2007) specific emotions (fear, happy, sad, anger, and neutral expressions) were labeled target and non-target by schizophrenia patients and controls. For both anger and fear faces compared to neutral faces in the amygdala, controls showed more activation for correctly identified faces, whereas patients showed greater activation for misidentified faces. A similar pattern was seen for the hippocampus for fear faces compared to neutral faces. Both the amygdala and hippocampus had large associations with flat affect in schizophrenia patients when viewing fear expressions.

Many investigators have studied negative stimuli specifically in patients with paranoid or positive symptoms. In addition, to abnormal medial prefrontal activity, Williams et al. (2004) predicted schizophrenia patients and more specifically paranoid patients would have reduced amygdala activity. Schizophrenia patients had reduced left amygdala activity compared to controls during fear faces compared to neutral faces accompanied with high skin conductance levels. In addition, when fearful expressions were accompanied with high skin conductance levels, paranoid patients also had reduced left amygdala activation compared to nonparanoid patients.

These findings were replicated in second study by Williams et al. (2007) in the same paranoid and nonparanoid patients and control sample using a similar methodology as described previously. In support of their previous findings, when fearful stimuli were accompanied by high skin conductance levels, schizophrenia patients in general had lower activity in their left amygdala and more specifically paranoid patients had less amygdala activity compared to nonparanoid patients.

Other researchers have suggested a more complicated hypothesis regarding the functioning of amygdala and hippocampus in processing neutral and fear invoking stimuli (Surguladze et al., 2006). Rather than solely decreased neural responses of the amygdala (and hippocampus) during fear invoking stimuli, paranoid patients may have an increased response to neutral or positive stimuli. These increased responses to neutral or positive stimuli, may underlie positive symptoms, which are thought to be the manifestation of false significance given to inappropriate or nonthreatening stimuli (Phillips et al., 2003b). Phillips et al. (1999) investigated processing of fear, anger, or mildly happy faces in paranoid and nonparanoid patients and controls. Paranoid schizophrenia patients showed greater activation to neutral faces compared to disgust faces (i.e., deactivation to disgust faces) in their hippocampus compared to nonparanoid patients (as there was no statistical comparison to the control group, this effect is not presented as part of the quantitative review).

In a similar study, Russell et al. (2006) investigated the effects of emerging versus dissipating fear images in paranoid and nonparanoid male schizophrenia patients and controls. In the bilateral amygdala/hippocampal border and left dorsolateral amygdala. nonparanoid and paranoid patients had a trend towards a significant difference. Nonparanoid patients and controls demonstrated the expected greater response to emerging versus dissipating fearful expressions. In the right amygdala, nonparanoid patients had a trend towards a greater response to emerging versus dissipating fearful expression. Additionally, the paranoia score correlated with the two amygdala and one amygdala/hippocampal region and greater activation to emerging versus dissipating fear was associated with less paranoia (these correlations are reported in Table 5). The findings in the paranoid group are more difficult to interpret as a neutral baseline condition was not included. Hence the values in the paranoid group could have been driven by either deactivation in response to emerging fear or an increase in activation in response to the dissipating fear condition.

The most convincing evidence that paranoid patients may also react more strongly to neutral stimuli comes from a study by

Table 5

Studies investigating amygdala and hippocampus/parahippocampal gyrus functioning during emotion tasks.

Study	Task	Amygdala				Hippocampus and parahippocampal gyrus			
		Group diff	Neg	Pos	Dis	Group diff	Neg	Pos	Dis
Gur et al. (2002) ^a	Emotion-labeling	↓L	0	0	-	↓ LR	0	0	-
Hempel et al. (2003) ^b	Emotion-labeling	↓ LR	0	0	-	↓ LR	0	0	-
Gur et al. (2007) ^c	Emotion-labeling (target/non-target)	<pre>↓ for correct identification ↑ for mis- identification</pre>	0.94	0	-	 ↓ for correct identification ↑ for mis- identification 	0.5*	0	-
Williams et al. (2004) ^d	Fear and neutral faces	⊥L	-	0.49	_		-	-	-
Williams et al. (2007) ^e	Fear and neutral faces	↓ L	-	0.49	-		-	-	-
Russell et al. (2006) ^f	Fear faces	↓ L n.s. ↓ L n.s. ↓ R	-	0.49 0.50 0.56	-	↓L	-	0.49	-
Surguladze et al. (2006) ^g	Fear and neutral faces		0	0.55	0	↑R	0	0.74	0
•		n.s. L	0	0	0	n.s. ↑ R	0	0.52	0
Taylor et al. (2007) ^h	Negative and neutral images	↑ L	-	0	-	-	-	-	-
Effect size-quantitative			0.28	0.26	_		0.15	0.24	_
Q-heterogeneity statistic (probability value)			26.34 (<0.001)	8.46 (0.58)	-		2.63 (0.62)	5.56 (0.35)	-
Confidence interval lower bound			0.02	0.11	-		-0.13	0.01	-
Confidence interval upper bound			0.54	0.42	-		0.42	0.48	-

Correlation values reported in table (*r* or rho)

Neg = negative symptom dimension; Pos = positive symptom dimension; Dis = disorganization symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \downarrow = less activation in patients compared to controls; n.s. = non-significant contrast; L = left; R = right.

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms or greater symptoms in the patient group with the symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms or the patient group with fewer symptoms of interest).

Symptom dimensions used were SAPS positive and SANS negative total scores. b

Symptom dimension information for this study is presented in Table 4, footnote a.

с The negative dimension consisted of only the flat affect SANS item.

Symptom dimension information for this study is presented in Table 4, footnote b. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to r values reported in table.

Symptom dimension information for this study is presented in Table 4, footnote c. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to r values reported in table.

^f The paranoid group was defined as having moderate or greater severity on two SAPS items assessing delusional thinking that someone was trying to harm or plotting against them (including beliefs that other were talking about them behind their backs). The paranoid group, however, also had greater amount of negative symptoms, passivity, and hallucination symptoms.

^g The positive symptom dimension consisted of auditory hallucinations directed at the patient, delusions of persecution, and delusions of reference SAPS items. The negative symptom dimension consisted of blunted affect, poverty of speech, and decreased spontaneous movement SANS items. The disorganization symptom dimension consisted of inappropriate affect, poverty of content of speech, and positive formal thought disorder SAPS and SANS items.

Symptom dimension information for this study is presented in Table 4, footnote d.

Authors reported a large association which was assigned a value of 0.5.

Surguladze et al. (2006). This study examined the processing of neutral, mildly fearful, and fearful faces in male schizophrenia patients and male controls and their association with positive, negative, and disorganization symptoms. Controls demonstrated increased activity to increasing fearful expression (neutral-mildintense fear) in the right hippocampus and parahippocampal gyrus. Schizophrenia patients displayed increased activation to decreasing fearful expression (intense fear-mild-neutral) in these regions. Subsequent analyses demonstrated that schizophrenia patients had more activation to neutral faces compared to controls and greater activation to neutral and mildly fearful faces were associated with greater paranoia symptoms. Only the correlation with neutral faces was significant after additionally covarying for depression and IQ. Although differences between groups were not found for the amygdala, exploratory analyses demonstrated that the right amygdala had a positive association with positive symptoms during processing of both neutral and fearful faces. No such associations were found for negative and disorganization symptoms.

Lastly, Taylor et al. (2007) as described previously were interested in relating IAPS pictures to amygdala activity in addition to the reported medial prefrontal activity. Healthy controls had greater activity in the left amygdala compared to all schizophrenia patients for the neutral versus blank picture comparison. However, in contrast to the findings in the medial prefrontal cortex, the amygdala did not show differential effects between patients with and without positive symptoms.

3.4.2. Quantitative summary

Eight studies encompassing 146 subjects investigated the relationship between the amygdala and positive symptoms and four studies encompassing 54 patients investigated the relationship between the amygdala and negative symptoms (see Table 5). Five of those studies encompassing 92 patients investigated the relationship between the hippocampus/parahippocampal gyrus and positive symptoms and four studies encompassing 54 patients investigated the relationship between the hippocampus/parahippocampal gyrus and negative symptoms. Small effects were found for the amygdala and hippocampus/parahippocampal gyrus and positive symptoms. For both the associations, the confidence intervals did not include zero and the heterogeneity statistics suggested that the effect sizes were relatively good indicators. A small effect was also found for the association between the amygdala and negative symptoms. However, the heterogeneity statistic suggested that the effect size was not a good indicator, reflecting that the finding was driven by one study. The association between the hippocampus/parahippocampal gyrus and negative symptoms was found to be negligible in these studies.

3.4.3. Summary

Suggestive evidence existed for a small relationship between the amygdala and hippocampus/parahippocampal gyrus and positive symptoms. The effect was most prominent when patients with paranoid symptoms were considered. Also, one study found a relationship between amygdala and hippocampus/parahippocampus gyrus and flat affect. This resulted in a small overall effect which should be interpreted with caution.

3.5. Ventral striatum during reward and conditioning tasks

The ventral striatum, which contains the nucleus accumbens, is proposed to have a key role in both affective negative and positive symptoms. The ventral striatum may have a role in creating negative symptoms such as flat affect or anhedonia, as dysfunction of this system is thought to be associated with reduced motivation (Breiter et al., 2001; Phillips et al., 2003b). The ventral striatum is also thought to have a role in creating positive symptoms as this region is hypothesized to be involved in learning associations. Misfiring of dopamine neurons in this region may lead to reinforcement of false associations and relate to the creation of delusions (Kapur, 2003).

3.5.1. Qualitative summary

Three studies investigated ventral striatum functioning and its relationship with positive and negative symptom dimensions. Juckel et al. (2006b), used a reward prediction task in unmedicated schizophrenia patients and controls during invoked anticipation of gain (i.e. reward), loss (i.e. punishment), or no consequence. The left ventral striatum demonstrated reduced activation during both gain and loss anticipation in patients compared to controls. Furthermore, reduced activation had a large association with more severe PANSS negative, positive, and total scores during gain anticipation. This study provides support for the role of the ventral striatum in creating affective negative and positive symptoms in schizophrenia.

A second study investigated ventral striatum functioning using an aversive Pavlovian conditioning task in medicated schizophrenia patients and controls (Jensen et al., 2008). There were no significant differences in the ventral striatum for conditioned stimuli; however, patients were found to have greater right and left ventral striatal activity compared to controls for neutral stimuli. Small associations were found for the neutral stimuli and negative and positive symptoms in the ventral striatum. During the conditioned stimuli, there was a moderate relationship between negative symptoms and the ventral striatal activity, and a small relationship with positive symptoms. Possible reasons for the attenuated associations in this study could have been the use of a medicated sample, which may affect dopamine functioning in the ventral striatum. The authors, however, only found small associations between medication and ventral striatal activity. Regardless, atypical neuroleptics may be ameliorating some of the symptoms of schizophrenia. Indeed, the subjects in the Jensen and colleagues (2008) study had fewer and/or less severe symptoms and this difference was greater for positive than negative symptoms.

Support that atypical medications affect the relationship between symptoms and striatal functioning comes from another study by Juckel et al. (2006a), who studied schizophrenia patients on atypical versus typical anti-psychotic medication and controls. This study found that controls and schizophrenia patients treated with atypical neuroleptics showed ventral striatal activation to reward anticipation, but patients treated with typical neuroleptics did not and that lower left ventral striatum activity was associated with increased severity of negative symptoms.

3.5.2. Quantitative summary

Three studies encompassing 33 subjects investigated ventral striatum functioning during reward and conditioning processes and the negative symptom dimension (see Table 6). Two studies encompassing 23 subjects investigated the relationship with the positive symptom dimension. The effect size was medium for the negative symptom dimension, whereas it was small for the positive dimension. Only for the negative dimension did the confidence intervals not include zero. In addition, the heterogeneity statistics suggested that the mean effect sizes were relatively good indicators of magnitude.

3.5.3. Summary

A moderate relationship between abnormal ventral striatum functioning and greater negative symptoms was found. In addition, the research suggested that anti-psychotic medications may play a role in ameliorating the relationship between positive symptoms and brain functioning in this region.

Table 6

Studies investigating ventral striatum functioning during reward and conditioning tasks.

Study	Task	Ventral striatum	Ventral striatum				
		Group diff	Neg	Pos			
Juckel et al. (2006b)	Reward prediction	↓ L	0.66	0.61			
Jensen et al. (2008)	Pavlovian conditioning	↑ LR	-0.13	0.10			
		n.s. ^a	0.37	-0.18			
Juckel et al. (2006a)	Reward prediction	$\downarrow L^{b}$	0.67	-			
Effect size-quantitative			0.45	0.24			
Q-heterogeneity statistic (probability value)			2.62 (0.45)	2.14 (0.34)			
Confidence interval lower bound			0.16	-0.17			
Confidence interval upper bound			0.74	0.66			

Correlation values reported in table (r or rho).

Neg = negative symptom dimension; Pos = positive symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to controls; \downarrow = less activation in patients compared to

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms or greater symptoms in the patient group with the symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms of interest).

^a There was no significant difference between patients and controls for this contrast; however since patients were expected to have less activity in this region—the extracted BOLD response correlations with symptoms were coded to be consistent with this hypothesis.

^b In patients on typical anti-psychotics only.

Symptom dimensions used for all the studies were PANSS positive and negative total scores.

3.6. Middle and superior temporal lobe functioning during speech processing tasks

The temporal lobe is involved in fundamental processes such as hearing, receptive language, and information retrieval. The middle and superior temporal lobe are hypothesized to have a role in language and semantic memory processes. Speech and language tasks are thought to tap into processes that lead to disordered thinking and/or auditory hallucinations. Verbal hallucinations are thought to arise when internal speech is misattributed to external sources or alternatively auditory hallucinations may reflect trouble with speech perception in general (Kuperberg and Heckers, 2000). Disordered thinking may be caused by a specific problem in processing semantic meaning (Kuperberg and Heckers, 2000).

3.6.1. Qualitative summary

One study investigated the relationship between language processing and the two-factor model of positive and negative symptoms. Koeda et al. (2006) investigated auditory language processing of sentences, sentences presented in reverse, and nonvocal sounds in schizophrenia patients and controls. Controls showed greater activation than schizophrenia patients in their bilateral superior sulci and middle temporal region. Anterior and posterior superior and middle temporal regions were uncorrelated with positive and negative dimensions computed from the BPRS. This lack of relationship may be due to looking at the two-factor model of symptoms, rather than specific symptoms such as auditory hallucinations or formal thought disorder.

Ngan et al. (2003) used an auditory oddball task in schizophrenia patients and controls to investigate the relationship between brain activity and formal thought disorder. In this task, occasional (i.e., oddball) speech and complex non-speech sounds were intermixed with background tones. Patients had greater activation in the right middle and superior temporal gyri compared to controls during speech compared to non-speech oddball stimuli. Activity in this combined middle/superior temporal region was uncorrelated with formal thought disorder. However, the two temporal-parietal junction regions were found to have a significant relationship with the thought disorder score (r = 0.46).

A number of studies have also focused on studying patients with current positive symptoms compared to those without. Surguladze et al. (2001) investigated seven patients with positive symptoms and seven without those symptoms (remitted) during an audio-visual speech task. Controls showed greater activation than schizophrenia patients in the bilateral superior and middle temporal gyri (BA 42, 22, 21) during a lip-reading task. Patients with positive symptoms showed more activation in the bilateral superior temporal cortex (BA 22) and left middle temporal gyrus (BA 21) than did the remitted group during a lip-reading task.

Similarly, Allen et al. (2007) investigated misattribution of speech in schizophrenia patients with auditory verbal hallucinations, patients with no history of hallucinations, and healthy controls. Participants listened to words spoken by themselves or by another person. These words were either distorted or not. In the left superior temporal gyrus (BA 22) the non-hallucinating and control group showed greater activation when processing alien speech compared to self-speech, whereas the hallucinating group showed a similar response for both alien and self-speech. In the right superior temporal gyrus, the hallucinatory group showed greater activation for distorted compared to the undistorted selfspeech, whereas the opposite pattern was found for the nonhallucinatory group and distortion did not affect the activation pattern in this region for the control group. In the left middle temporal gyrus (BA 21) both the control and non-hallucinating group showed greater activation for correct responses than misattributions, whereas there was no difference in the hallucinating group. This pattern was also present when correct identification of self-speech was compared to misattribution of alien speech.

Lastly, Woodruff et al. (1997) investigated male schizophrenia patients who had a history of auditory hallucinations (traitpositive) but were not actively hallucinating to seven male schizophrenia subjects who had never hallucinated (trait-negative) and controls. Furthermore, seven subjects were scanned during a period of severe ongoing hallucinations (state-positive) and after those hallucinations (state-negative) had diminished. Schizophrenia patients (trait-negative and trait-positive patients) showed less activity in the left superior temporal gyrus and more activation in the right middle temporal gyrus compared to controls. No notable differences between the trait-positive and trait-negative groups were found. External speech activated to a lesser extent the right middle temporal gyrus and left superior temporal gyrus in the hallucination state-positive group than the state-negative group. Studies investigating middle and superior temporal lobe functioning during speech processing tasks.

Study	Task	Middle temp	oral lobe	Superior temporal lobe			
		Group diff	Pos	Dis	Group diff	Pos	Dis
Koeda et al. (2006) ^a	Speech/Non-speech	↓ LR	0	-	↓ LR	0	-
Ngan et al. (2003) ^b	Speech/Non-speech	↑R	-	0	↑R	-	0
Surguladze et al. (2001) ^c	Nonvisual speech	↓ LR	-0.85	-	↓L	-0.75	-
Allen et al. (2007) ^d	Speech appraisal	↓L	0.40	-	↑R	0.40	-
					↓L	0.40	
Woodruff et al. (1997) ^e	Listening to speech	↑ R	-0.70	-	↓L	0.70	-
Effect size-quantitative			-0.22	-		0.12	-
Q-heterogeneity statistic (probability value)			23.48 (<0.001)	-		20.72 (<0.001)	-
Confidence interval lower bound			-0.47	-		-0.14	-
Confidence interval upper bound			0.02	-		0.37	-

Correlation values reported in table (r or rho).

Pos = positive symptom dimension; Dis = disorganization symptom dimension; Group diff = difference between groups (where there were multiple patient groups this represented patients pooled together or the result of each individual patient group compared to controls); \uparrow = greater activation in patients compared to controls; \downarrow = less activation in patients compared to controls; n.s. = non-significant contrast; L = left; R = right.

Note: The direction of the effect size represents whether or not the effect is in a consistent direction with the abnormality compared to controls. A positive effect size represents that abnormal brain activity in patients compared to controls is associated with greater symptom severity (e.g., hypo- and hyperactivity compared to controls is associated with greater symptoms of interest), whereas a negative effect size represents the opposite (e.g., abnormal activity compared to controls is associated with fewer symptoms of interest).

^a The positive symptom dimension included the conceptual disorganization, mannerisms and posturing, hostility, grandiosity, suspiciousness, hallucinatory behavior, unusual thought content, and excitement BPRS items.

^b The disorganization dimension included the formal thought disorder score from the Signs and Symptoms of Psychotic Illness Scale.

^c The psychotic group had mild or more severe unusual thought content or hallucinations on the BPRS items. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to *r* values reported in table.

^d The hallucinating group had a mild or more severe auditory hallucination score on the SAPS. The non-hallucinating group was not experiencing auditory verbal hallucinations currently nor had a history of auditory hallucinations. Used best estimation to convert statistics comparing groups with differing symptom presentation/ severity to *r* values reported in table.

^e The group difference was derived from controls compared patients trait-positive and negative for auditory hallucinations. In addition, patients were scanned over two different time periods. First the group was scanned during a period of severe ongoing auditory hallucinations as measured by the SAPS (state-positive) and after those hallucinations (state-negative) had diminished. Used best estimation to convert statistics comparing groups with differing symptom presentation/severity to *r* values reported in table.

3.6.2. Quantitative summary

Four studies with 70 schizophrenia patients investigated the relationship between the middle and superior temporal lobe and positive symptoms of schizophrenia. The middle temporal lobe effect size indicated that its relationship with positive symptoms was not in an expected direction (see Table 7). A negative effect size indicated when compared to controls and/or other psychopathology group, the group with positive symptoms was more similar to the control group. The effect size for the superior temporal lobe reflected a negligible association in the expected direction. For both regions, the confidence intervals included zero and the heterogeneity statistics suggested the effect sizes were not the best indicators of magnitude.

3.6.3. Summary

Despite four relevant studies, associations were not convincing for the middle or superior temporal region and positive symptoms measured in this way. There was little consistency in the direction of the abnormality compared to normative functioning for the middle temporal gyrus with symptoms.

4. Discussion

This review focused on 25 fMRI studies investigating the relationship between brain activity and symptom expression in schizophrenia patients compared to a healthy control group, often with an additional psychiatric comparison group. Our aim was to empirically assess whether the *symptom dimensions of schizophrenia* were associated with particular forms of brain dysfunction as measured by fMRI. One of the reasons that pathognomic fMRI patterns may not exist for schizophrenia as a diagnosis is that the diverse set of neural abnormalities underlying symptom heterogeneity amongst patients is obscured when data are averaged and compared with a control group. Separable dimensions of schizo-

phrenia symptomatology have been reliably identified through factor analyses and persist over the course of the disorder (Andreasen et al., 1994, 1995; Arndt et al., 1995; Liddle, 1987b), thereby suggesting that they may derive from persistent abnormalities in distinct neural substrates. The qualitative and quantitative reviews found reliable small to moderate associations between specific symptoms domains and regional brain activity (Fig. 1). Knowledge of how these individual nodes function provides useful information of the working of higher-level systems. Below, we integrate the findings of this review with findings from the broader literature. We offer suggestions for reconciling findings from different methodologies, as well as, future directions.

4.1. Dorsolateral prefrontal cortex

In this review abnormal dorsolateral prefrontal activity during executive functioning was associated with greater disorganization symptoms (r = 0.43; CI_{95%} = 0.25–0.61). This association provides support for the hypothesis that dysfunctional dorsolateral prefrontal and executive functioning may be related to disorganization symptoms by a reduced ability to suppress inappropriate behaviors for goal-directed behaviors. Impairments in these processes may underlie inappropriate affect, formal thought disorder, and bizarre behavior (Liddle, 1987a; Liddle et al., 1992). Convergent evidence was provided by Kircher et al. (2002), who found that the right middle frontal gyrus (BA 9) was related to formal thought disorder when patients spoke about Rorschach inkblots. In addition, lower dorsolateral prefrontal functional connectivity with other context processing task-related regions was associated with greater disorganization symptoms, but not with negative or positive symptoms (Yoon et al., 2008). Furthermore, additional support was also provided by the structural MRI literature where greater bilateral dorsolateral

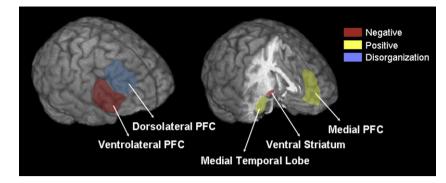


Fig. 1. Relationship between symptom dimensions and fMRI task-related brain activity. PFC = prefrontal cortex; Medial temporal lobe = amygdala, hippocampus, and parahippocampus gyrus. Approximate brain regions for visualization purposes.

prefrontal cerebrospinal fluid volume (Molina et al., 2003) and lower left dorsolateral prefrontal volume were associated with more severe disorganization symptoms (Lopez-Garcia et al., 2006).

Surprisingly, this review did not find an association between dorsolateral prefrontal activity during executive functioning and negative symptoms, which have been reported using a number of different methodologies. A positron emission tomography (PET) study found that patients with predominantly negative symptoms compared to patients with predominantly positive symptoms and controls had lower glucose metabolic rate in broad areas of the prefrontal cortex, including but not specific to the dorsolateral prefrontal cortex (BA 9/46) during a continuous performance task (Potkin et al., 2002). Similarly, drug-naïve deficit patients had lower activation in their bilateral dorsolateral prefrontal cortex (BA 9, 46) during an auditory discrimination task compared to nondeficit patients (Lahti et al., 2001). Convincing evidence is provided by Honey et al. (2008), who paired ketamine administration with fMRI in a nonpsychiatric sample and found an association between right dorsolateral prefrontal activity and negative symptoms during a continuous performance task. During rest, deficit patients have also been found to have lower blood flow than nondeficit schizophrenia patients in their bilateral dorsolateral prefrontal cortex (Vaiva et al., 2002) and negative symptoms have been associated with less left dorsolateral prefrontal (BA 46/10) blood flow (Liddle et al., 1992). However, not all studies have found an association between dorsolateral prefrontal activity and negative symptoms even during an executive functioning task (Honey et al., 2003). Structurally, there also was an association between greater dorsolateral prefrontal cerebrospinal fluid and a trend for decreased grey matter volume and greater negative symptoms (Molina et al., 2003).

The specific reasons for the attenuated association between dorsolateral prefrontal functioning and negative symptoms in this review are difficult to isolate. One possible explanation could be that two of studies in this review that found an association between dorsolateral prefrontal functioning and symptoms investigated drug-naïve subjects, rather than chronic medicated patients. Deficits found later in the illness may have a greater relationship to negative symptoms, which are more treatment resistant. Another possible reason could be that two of the studies in this review that found associations for disorganization rather than negative symptoms found a specific deficit in executive functioning, whereas other studies which have found associations with negative symptoms may be using tasks that measure a more generalized deficit, produced by negative symptoms such as anhedonia or apathy. Lastly, many of the studies in the literature that found associations between dorsolateral prefrontal activity and negative symptoms compared groups with deficit versus nondeficit symptoms or negative versus positive symptoms without controlling for disorganization symptoms. It could very well be patients with greater deficit or negative symptoms also have greater disorganization symptoms.

4.2. Ventrolateral prefrontal cortex

In this review two studies found abnormal ventrolateral prefrontal cortical activity was associated with greater negative symptoms during executive functioning (r = 0.38, Cl_{95%} = 0.04– 0.73). This finding provides support for the theory that the prefrontal cortex and executive functioning are thought to be related to negative symptoms due to their role in creating selfdirected behavior. Deficits in these processes may underlie alogia. anhedonia, and flat affect. Convergent evidence is provided in patients demonstrating primarily negative symptoms. Deficit patients showed less task-related activity in their ventrolateral prefrontal cortex (BA 45, 47; Potkin et al., 2002). Furthermore, when ketamine administration was paired with fMRI in a nonpsychiatric sample, an association was found between bilateral inferior frontal activity and negative symptoms during a continuous performance task (Honey et al., 2008). Complimentary evidence is provided by a structural MRI study that demonstrated greater right inferior frontal grey matter volume was associated with fewer total negative symptoms, specifically stereotyped thinking (Yamasue et al., 2004).

Although, this review did not find an association between ventrolateral prefrontal cortex and disorganization symptoms other studies have. Kircher et al. (2002) found that that right inferior frontal activity was related to positive formal thought disorder when patients spoke about Rorschach inkblots. Similarly, Han et al. (2007) investigated semantic word-priming and found lower left inferior frontal activation was also associated with greater distractive speech. Greater disorganization symptoms have also found be associated with less regional cerebral blood flow at rest in the right ventral prefrontal cortex (BA 45; Liddle et al., 1992). A possible reason for the difference between this review and other studies in the literature could be that few studies met the threshold for inclusion and this review focused solely on executive functioning tasks.

Lastly, studies have individually assessed the symptoms of difficulty in abstract thinking (i.e., concretism) from the PANSS and attentional impairment from the SANS which have not loaded consistently or cleanly onto the negative or the disorganization factor. These items been found to be associated with reduced activation in the left inferior fontal gyrus (BA 44, Ganesan et al., 2005; BA 45, Kircher et al., 2007). These items may not load consistently or cleanly onto negative or disorganization factors because both domains may share underlying neural correlates in the prefrontal cortex.

4.3. Medial prefrontal cortex

In this review abnormal medial prefrontal activity during emotional stimuli processing was associated with greater positive symptoms, particularly paranoia (r = 0.36, $CI_{95\%} = 0.16-0.55$). This finding supports the theory that distortions in reality (e.g., delusions) may be due to social judgments going awry or finding personal relevance inappropriately in social situations, which have been associated with medial prefrontal cortex (Amodio and Frith, 2006; Taylor et al., 2007). Consistent with this observation, Winterer et al. (2006) reported that increased left medial prefrontal residual fMRI noise during a visual reaction task had large significant associations with greater delusional ideation and hallucinations (as well as difficulty abstracting and anxiety). An increase in deactivation of the medial prefrontal cortex was also related to increased positive symptoms (Garrity et al., 2007). Lastly, paranoid patients demonstrated abnormal fMRI connectivity between the medial prefrontal cortex and the dorsolateral prefrontal cortex compared to controls (Zhou et al., 2007).

4.4. Amygdala

In this review abnormal amygdala activity during emotional stimuli processing was associated with greater positive symptoms, especially paranoia (r = 0.26, $CI_{95\%} = 0.11-0.42$). This finding provides support for the theory that impairments in the amygdala could lead to abnormal emotion recognition and misinterpretation of neutral or ambiguous situations as threatening, hence leading to persecutory delusions (Phillips et al., 2003b). Consistent with the review, positive symptoms have also been related to left amygdala activity across aversive and non-aversive conditions during PET (Taylor et al., 2002). Also positive schizotypy symptoms measured in a normative sample were associated with greater amygdala activation during an emotional Stroop (Mohanty et al., 2005). The structural MRI literature does not provide consistent support for this association, despite more consistent functional neuroimaging findings. The majority of studies that have measured the amygdala structurally have not revealed a significant association with positive symptoms (Joyal et al., 2003; Niu et al., 2004; Wang et al., 2008), though one study found an association between lower left amygdala volume and greater illness duration (Niu et al., 2004).

In this review abnormal amygdala functioning during emotional stimuli processing was associated with greater flat affect $(r = 0.28, CI_{95\%} = 0.02 - 0.54)$, largely driven by one study (hence a significant heterogeneity statistic). This provides preliminary support for the theory that amygdala abnormalities can be associated with emotional blunting (Phillips et al., 2003a), though replication is necessary. In support of this finding, Fahim et al. (2005) demonstrated that patients without blunted affect activated the amygdala and patients with blunted affect activated the amygdala only after treatment with quetiapine. In addition, one structural MRI study found reduced amygdala/hippocampus volume in patients with primary negative symptoms compared to controls (Anderson et al., 2002), with the majority of studies finding no association (Joyal et al., 2003; Niu et al., 2004; Wang et al., 2008). Further evidence is required before strong statements can be made for the association between the amygdala and negative symptoms.

4.5. Hippocampus and parahippocampal gyrus

In this review abnormal hippocampus and parahippocampal gyrus activity during emotional stimuli processing (r = 0.24, $Cl_{95\%} = 0.01-0.48$) was associated with greater positive symptoms, specifically paranoia. This finding provides support the for the role

of the hippocampus in regulating affective states involved in generating behaviors in threatening or potentially threatening contexts (Phillips et al., 2003a) and for the role of parahippocampal gyrus in context appraisal (Sacchetti et al., 1999) being related to the persecutory symptoms of schizophrenia. In support of these findings, greater blood flow in the left parahippocampal gyrus was associated with greater positive symptoms (Liddle et al., 1992). Furthermore, positive schizotypy symptoms in a healthy population were associated with greater right hippocampal and parahippocampal activation during an emotional Stroop (Mohanty et al., 2005). Convergent evidence is provided by structural MRI, more severe Schneiderian symptoms were associated with a smaller left anterior parahippocampal gyrus (Suzuki et al., 2005). However, studies of the hippocampus have tended to not find any structural abnormalities associated with a particular symptom profile (Szeszko et al., 2003; Wang et al., 2008).

4.6. Ventral striatum

In this review ventral striatum functioning during reward and conditioning was associated with negative symptoms (r = 0.45, $CI_{95\%} = 0.16 - 0.74$). This finding provides support for the theory that the ventral striatum may have a role in creating negative symptoms such as flat affect or anhedonia, as dysfunction of this system is thought to be associated with reduced motivation (Breiter et al., 2001; Phillips et al., 2003b). In support of this finding, Crespo-Facorro et al. (2001) found amongst other regions that the right nucleus accumbens had a decreased response to unpleasant odors, suggesting dysfunction of this region in appraising emotional significance, which may underlie anhedonia. In this review, one study of ventral striatum functioning in drug-naïve patients suggested an association with positive symptoms, which was not found in studies of medicated patients. In a normative sample, positive schizotypy symptoms were associated with decreased nucleus accumbens activation during an emotional Stroop (Mohanty et al., 2005). In addition, Murray et al. (2007) found patients with predominantly positive symptoms had an attenuated response to neutral and reward prediction error in the right ventral striatum compared to controls. Although, these findings provides support for the theory that misfiring of dopamine neurons in the ventral striatum may lead to reinforcement of false associations related to the development of delusions (Kapur, 2003), these findings need be replicated and the role of medication further clarified.

4.7. Middle and superior temporal lobe

One of the most notable findings of this review was the lack of a consistent association between the middle and superior temporal lobe activity during speech processing and positive symptoms. Other studies using other techniques and tasks have found significant associations. Kubicki et al. (2003) found an association for greater left superior temporal activation during shallow word encoding with positive symptoms. Hallucinations were found to be associated with abnormal activity in left middle temporal gyrus during word priming (Han et al., 2007) and the superior temporal gyrus during sentence completion (Plaze et al., 2006). A number of elegant studies in single or small groups of patients scanned during on-off hallucinatory periods have also reported that the regions of middle and superior temporal cortex were active during actual hallucinations (Dierks et al., 1999; Lennox et al., 2000; Shergill et al., 2000, 2001, 2004). Honey et al. (2008) paired ketamine administration and fMRI in a healthy population and found an association between left middle temporal activity and auditory illusions during a verbal self-monitoring task. Importantly, a metaanalysis of 15 transcranial magnetic stimulation (TMS) studies demonstrated that stimulation of left temporoparietal cortex resulted in a reduction of auditory hallucinations (Aleman et al., 2007). In addition, greater positive symptoms were also associated with greater deactivation of the left middle temporal gyrus (Garrity et al., 2007). Structurally, reduced planum temporale volume has been associated with delusions (Yamasaki et al., 2007).

The one study that met inclusion criteria did not find an association between middle and superior temporal activity and disorganization symptoms during speech processing (but did find associations with temporal-parietal regions). However, many other studies have found this association. Abnormal activation in the left posterior middle temporal region (Han et al., 2007) and left posterior superior temporal sulcus/middle temporal gyrus have been associated with thought disorder (Weinstein et al., 2006). Kircher et al. (2001) found less positive formal thought disorder was associated with increased activation in left superior and middle temporal gyrus. Furthermore, Honey et al. (2008) paired ketamine administration with fMRI during a semantic generation task and found an association between left middle and superior temporal activity and formal thought disorder in a healthy population. Structurally, greater bizarre-idiosyncratic thinking has been found to be associated with reduced bilateral superior temporal gyrus volumes (Subotnik et al., 2003). Despite, the findings of this review, there is evidence that misattribution of speech and problems processing semantic meaning may lead to disordered thinking and/or auditory hallucinations (Kuperberg and Heckers, 2000). Future research needs to clarify the constraints under which these associations are found.

A potential reason for the difference between the findings of this review and other studies could be that this review focusing on speech tasks. For example, fMRI activity actually occurring during on-line auditory hallucinations is associated with the middle and superior temporal gyri. Also the lack of relationship between middle/superior temporal region and formal thought disorder may be due to power or specific task chosen. Formal thought disorder has been found to be particularly related to tasks of on-line semantic processing (Kuperberg and Heckers, 2000). A possible explanation for the lack of findings between the temporal lobe and positive symptoms could be that most of the studies differentiated between groups of patients with certain positive symptoms. However, the groups may have also differed in the presentation of symptoms in the disorganization and negative domains, which may have resulted in unexpected findings. The temporal lobe has been hypothesized (Crow, 1985) and found to be associated negative symptoms both functionally (Potkin et al., 2002) and structurally (Turetsky et al., 1995), in addition to the positive and disorganization domains. Therefore studies (similar to those reviewed in the discussion) that investigated correlations between brain activity and symptoms may be more likely to find coherent associations. Lastly, the temporal lobe has been associated with illness severity in general (Honey et al., 2003).

4.8. Limitations

This review focused on the literature associating symptoms to brain activity where a healthy control group and a cognitive task were included; therefore this review may have missed associations between symptoms and brain regions that may have been present using other analytic and imaging techniques. Also this review was limited to certain regions and tasks where a significant literature had accumulated. Therefore this review may have missed relationships in additional brain regions and tasks than reviewed. A further limitation is that we combined across specific tasks into relevant construct domains. One reason to group tasks by domain was to demonstrate the generalizability of symptom–function relationships over and above specific task demands. In this review, we also chose to combine findings across the cerebral hemispheres as differential activation may reflect the specific cognitive processes recruited by different task variants, rather than interpretable differences (Gur and Chin, 1999). Furthermore, many brain regions demonstrate bilateral functional and structural abnormalities in schizophrenia when samples are sufficiently large (e.g., Glahn et al., 2005; Wright et al., 2000). This reduced our ability to reveal more subtle, hemisphere-specific symptomfunction relationships. However, the small number of these reports across studies largely precludes such an examination at this time. Our review was conservative as we coded our effects of the psychopathology of interest as to whether or not it was expected given the pattern of activation compared to the control group. For a few of the studies where groups with differing psychopathology were contrasted and direct comparison to controls for that specific activated regions were not present, we instead used activations from that area in general. In addition, this review may have lead to conservative effect size estimates, as we converted F and t group comparison statistics without being able to account for multiple comparison corrections, which were implemented by each study.

Fundamentally, the reviewed studies involved tasks that offered no experimental manipulation of symptoms, and instead focused on experimental changes in cognitive and affective states. Therefore an argument could be made that the review summarized associations that are epiphenomena of the experimental manipulation (e.g., an individual with negative symptoms fails to activate a brain region not because the brain region causes negative symptoms, but because of impaired motivation or that the brain region causes deficient cognitive function but not negative symptoms *per se*). These fMRI studies provide useful associations between symptoms and brain activity. However, the causal influences of neural responses on symptoms can only be established using other techniques which enable experimental manipulation of those symptoms.

4.9. Future recommendations

The direct experimental manipulation of brain regions is perhaps the strongest means by which to determine which brain regions (or brain circuits) cause symptoms. However, participant safety and ethical concerns make direct manipulation of brain states controversial. One of the first instances of intentional manipulations to neural systems to generate symptomatology was the application of the stimulant ketamine in nonpsychiatric subjects (Krystal et al., 1994) and individuals with schizophrenia (Lahti et al., 1995a, 1995b). Investigations have revealed that ketamine increases availability of dopamine in the striatum (Smith et al., 1998) and alters activity in the cingulate (Deakin et al., 2008; Fu et al., 2005; Lahti et al., 1995a, 1995b; Northoff et al., 2005), prefrontal cortex (Deakin et al., 2008; Fu et al., 2005), striatum (Fu et al., 2005), hippocampus, lingual gyrus, and fusiform gyrus (Lahti et al., 1995a, 1995b). Although ketamine provides a means by which to experimentally affect brain function, the manipulation is not confined to a single brain region. Therefore it is difficult to differentiate brain regions that cause symptoms from other regions altered by ketamine administration. The application of electromagnetic currents to neural populations through transmagnetic stimulation (TMS) provides a tool for directly manipulating neural activity in isolated cortical regions. Another advantage of TMS is that the investigator has precise temporal control of experimental changes in neural activity and thus can examine which neural changes precede the appearance or reduction of symptoms, and therefore likely cause symptoms rather than be a consequence of the experience of having symptoms. Refined differentiation of causal influences across interconnected brain regions will require the pairing of TMS with monitoring brain activity at high temporal resolution (e.g., electroencephalography, EEG). Such a pairing of manipulation and measurement would allow the investigator to document changes in neural function and symptoms in response to TMS.

Another necessary next step in identifying brain-symptom associations is to investigate within subject changes in symptoms in association with changes in brain activity. Such changes in brain and symptom states might be studied over the natural course of schizophrenia. Yet a naturalistic study would likely take long periods of time and would pose difficulties for investigators with respect to retention of subjects and consistency of assessments across time. Intervention studies include a shorter time-frame than documenting naturalistic changes. Neuroimaging during randomized control trials could allow determination of whether changes in brain activity and symptoms can be attributed to an intervention (e.g., medication, cognitive therapy, or remediation). Unfortunately, this method cannot identify causal relationships between neural effects and symptoms because much is unknown about the exact consequences of interventions on brain function. Intervention studies, however, can provide complimentary evidence regarding associations of neural activity and symptoms.

In addition to carrying out experimental manipulations, characterizations of brain structure and other forms of neural function (PET, EEG, magnetoencephalography) should be considered to provide adjunctive validation of fMRI findings. Neural data with better temporal resolution than the BOLD response may help differentiate neural causes from the neural consequences of transient symptoms. Finally, symptoms may be an expression of abnormal brain connectivity in schizophrenia, implying that the identification of brain regions contributing to symptoms is a step toward characterizing dynamic interactions of the brain in schizophrenia.

There are also several conventional methodological recommendations to further our knowledge of the neural contributors to symptoms. A number of suggestions for the design, analysis, and presentation of fMRI studies in general and for clinical neuroimaging studies in particular have been previously provided to enhance interpretability and reproducibility (Carter et al., 2008; Poldrack et al., 2008).

There are a number of methodological challenges and choices particular to addressing symptom-brain activation studies as well. Studies that employ control groups to isolate regions of abnormal activation decrease the likelihood of spurious associations with symptoms. Nonetheless, it is essential that the control group be closely matched to the individuals with schizophrenia-otherwise differential activations may reflect aspects of the disorder other than symptoms. If the experimental manipulation of symptoms proves to be unavailable due to ethical concerns, then selection of tasks that closely relate to symptoms will be important to probing brain regions suspected as contributing. Use of cognitive and affective probes to explore brain regions involved with the generation of symptomatology may fail to reveal relationships due to poor task selection, thereby merely adding noise to the pattern of associations between brain activity and symptoms across studies. This highlights the importance of additionally investigating the relationship between behavioral task performance and symptoms. When selecting tasks, investigators may consider using tasks that are sensitive to individual differences and to a specific deficit. Also, to supplement specific symptom-brain associations, investigators may also consider investigating the effects age, chronicity, general symptom severity, and medications on brain activity. As subgroups chosen to be high or low on certain symptomatology are commonly used, studies may benefit from matching the groups for factors and symptoms of non-interest to increase confidence in the specificity of the findings. Lastly, demonstrating that a significant association between one symptom dimension and brain region is greater than

associations between other symptoms and that same brain region may be informative in determining a preferential role for specific associations.

Although advances in neuroimaging are required to advance the field, attention to the measurement of symptoms is equally important. The dimensional structure used is fundamental to providing accurate associations between symptoms and brain activity. Investigators may wish to consider using the positive. negative, and disorganization dimensional structure or a structure that also takes into account the potentially more transient mood symptoms (this may be particularly relevant when using affective tasks). Evaluating primary negative symptoms dimensionally may also be particularly relevant in clarifying the neural substrates of negative symptoms. In addition, the time frame for which symptoms are quantified is fundamental to understanding how brain function results in symptomatology. The week-to-month time frame measured by most studies captures the current propensity of the brain to generate different forms of symptoms. If alternatively the intent of the study is to investigate the overall propensity toward various forms of schizophrenia symptomatology, then lifetime prevalence of the symptoms might be most relevant to capturing this underlying vulnerability of brain systems.

4.10. Conclusions

As expressed by Kraepelin (1907) necessary to understanding the symptoms of schizophrenia is understanding the brainbehavior relationships. The findings summarized in this review point to brain regions that could become the first focus for hypothesizing about the role of neural networks and testing the causal contributions to symptomatology (e.g., by using ketamine administration or TMS). A better understanding of these associations has important implications for the treatment of schizophrenia. Although subcortical regions may be challenging to stimulate through TMS, subsections of the prefrontal cortex appear to be promising targets to relieve symptoms. Because negative symptoms are particularly challenging to treat in individuals with schizophrenia successful manipulation of brain activity leading to symptomatic relief may have significant impact on human welfare. Multi-disciplinary research in this area is at the cutting-edge of understanding the basis of symptomatology and fundamentally impacting the treatment and prevention of schizophrenia.

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